



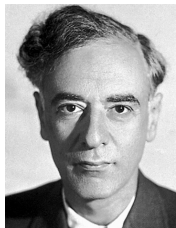
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Uniqueness of solutions to the fuzzy Landau equation

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Joint work with M. Delgadino, M. Gualdani and M. Taskovic



Lev. Landau

The **Landau equation** has the form

$$\partial_t f + v \cdot \nabla_x f = q(f), \quad f = f(x, v, t).$$

The **collision operator** q acts on v , is non-linear, nonlocal, and second order "elliptic":

$$q(f) = \operatorname{div}_v \int_{\mathbb{R}^3} \Phi(v - v_*) (\nabla_v - \nabla_{v_*}) \left(f(x, v) f(x, v_*) \right) dv_*,$$

where $\Phi(z) = |z|^{-1} \mathbb{P}(z)$, $\mathbb{P}(z) = \operatorname{Id}_3 - \frac{z \otimes z}{|z|^2}$.

- ▶ Appeared in 1936 as a version of the Boltzmann equation for Coulomb forces
- ▶ It is also a correction to the Vlasov Poisson equation.

The equation may be re-written as

$$\partial_t f(x, v) + v \cdot \nabla_x f(x, v) = \sum_{i,j} a^{ij} [f] \partial_{v_i v_j} f + 8\pi f^2,$$

where

$$\left(a^{ij} [f](x, v) \right)_{ij} = \int_{\mathbb{R}^3} \Phi(v - v_*) f(v_*, x) dv_* = (f *_v \Phi)(x, v).$$

Conserved quantities:

$$\frac{d}{dt} \int f dx dv = \frac{d}{dt} \int f v^i dx dv = \frac{d}{dt} \int f |v|^2 dx dv = 0.$$

H-theorem:

$$\frac{d}{dt} \int f \log f dv \leq 0.$$

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Space homogeneous: $f(x, v) = f(v)$

- ▶ Existence of H -solutions (Villani).
- ▶ Global existence of smooth solutions is known for L^1 initial data (Guillen, Silvestre, Ji, Gualdani, Golding, Loher, ...).
- ▶ Uniqueness is mostly known. Currently open for L^1 initial data (Fournier, Guerin, Gualdani, Golding, Loher, ...).
- ▶ Asymptotic behavior for smooth solutions: Desvillettes, Villani, Carrapatoso.



Space inhomogeneous:

- ▶ Asymptotic behavior for smooth solutions: Desvillettes, Villani, Carrapatoso.
- ▶ Global existence only known for:
 - Renormalized solutions (DiPerna, Lions).
 - Near equilibrium (Guo, Carrapatoso, Mischler).
 - Near vacuum for moderately soft and hard potentials (Luk, Sanchit)
- ▶ Finite time singularities for very hard potentials (Bedrossian, Chen, Gualdani, Ji, Vicol, Yang).

$$\partial_t f(v) = \int_{\mathbb{R}^3} \underbrace{\operatorname{div}_{v-v_*} \left[\Phi(v-v_*) \nabla_{v-v_*} (f(v)f(v_*)) \right]}_{=: Q(f \otimes f)} dv_*.$$

Blow-up was only ruled out recently by

Theorem (Guillen, Silvestre, 2023)

If f is a classical solution of homogeneous Landau then

$$\frac{d}{dt} i(f) = \frac{d}{dt} \int \frac{|\nabla f|^2}{f} dv \leq 0.$$

Key observation:

$$\frac{d}{dt} i(f) = \frac{d}{d\tau} \Big|_{\tau=0} I(F), \quad \begin{cases} \partial_\tau F = Q(F), \\ F|_{\tau=0} = f_t \otimes f_t. \end{cases}$$

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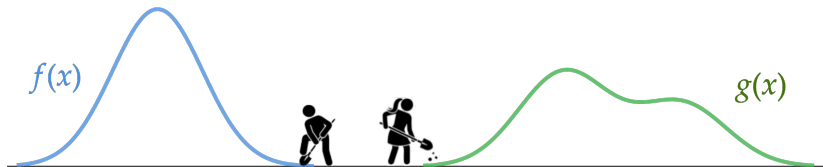
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The **2-Wasserstein distance** is

$$d_2^2(f, g) = \inf_{\Pi \in \Gamma(f, g)} \iint_{\mathbb{R}^3 \times \mathbb{R}^3} |v - w|^2 d\Pi(v, w).$$

where $\Gamma(f, g)$ is the subset of $\mathcal{P}(\mathbb{R}^3 \times \mathbb{R}^3)$ with marginals f, g .



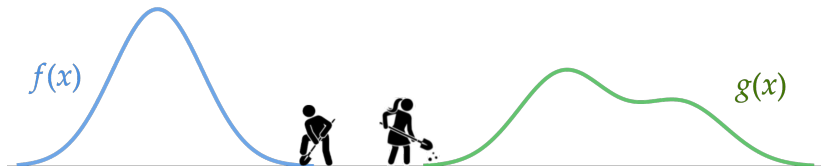
If f, g are solutions of homogeneous Landau, then

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We use the following formulation of the metric:

$$d_2^2(f, g) = \sup \left\{ \int_{\mathbb{R}^d} u(\cdot, 1)g - \int_{\mathbb{R}^d} u(\cdot, 0)f : \partial_s u + \frac{1}{2}|\nabla u|^2 = 0 \right\}.$$

The optimal u provides the geodesic connecting f and g :

$$\partial_s \rho_s + \operatorname{div}(\nabla u_s \rho_s) = 0, \quad \rho_0 = f, \quad \rho_1 = g, \quad \int_{\mathbb{R}^d} |\nabla u_s|^2 d\rho_s = d_2^2(f, g).$$

Toy model: if $\partial_t f = \Delta f$, $\partial_t g = \Delta g$ have unit mass

$$\begin{aligned} \frac{d}{dt} d_2^2(f, g) &= \int_{\mathbb{R}^n} u_1 \Delta g \, dx - \int_{\mathbb{R}^n} u_0 \Delta f \, dx = \int_0^1 \frac{d}{ds} \int_{\mathbb{R}^n} \Delta u \rho \, dx \, ds \\ &= - \int_0^1 \int_{\mathbb{R}^n} \left(\frac{1}{2} \Delta |\nabla u|^2 - \nabla \Delta u \cdot \nabla u \right) \rho \, dx \, ds \\ &= - \frac{1}{2} \int_0^1 \int_{\mathbb{R}^n} |D^2 u|^2 \rho \, dx \, ds. \end{aligned}$$

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Theorem (Fournier, 2010)

Let f, g be weak solution of homogeneous Landau. Then

$$\frac{d}{dt} [d_2^2(f, g)] \lesssim \left(\|f\|_{L^\infty(\mathbb{R}^3)} + \|g\|_{L^\infty(\mathbb{R}^3)} \right) \Psi \left(d_2^2(f, g) \right),$$

where $\Psi(s) = s(1 + |\log s|)$, which implies for $d_2^2(f_0, g_0) \ll 1$

$$d_2^2(f_t, g_t) \leq [d_2^2(f_0, g_0)]^{e^{-\alpha(t)}}, \quad \alpha(t) = C \int_0^t \left(\|f_s\|_{L^\infty(\mathbb{R}^3)} + \|g_s\|_{L^\infty(\mathbb{R}^3)} \right) ds.$$

- ▶ Fournier used stochastic analysis and a coupling argument.
- ▶ We recover the same bound using the lifting property.
- ▶ Implies uniqueness within the class $L_t^1 L_v^\infty$.

Recreating the computations for the heat equation shows:

$$\frac{d}{dt} d_2^2(f, g) \lesssim \int_0^1 \int |v - v_*|^{-3} |\nabla u(v) - \nabla u(v_*)|^2 \rho(v) \rho(v_*) dv dv_* ds$$

We use

$$|\nabla u(v) - \nabla u(v_*)| \lesssim_s |v - v_*|, \quad 0 < s < 1.$$

Then, for $0 < \varepsilon < 1$

$$\begin{aligned} \frac{d}{dt} d_2^2(f, g) &\lesssim \int |v - v_*|^{-3+2\varepsilon} |\nabla u(v) - \nabla u(v_*)|^{2(1-\varepsilon)} \rho(v) \rho(v_*) \\ &\lesssim \left(\|f\|_{L^\infty(\mathbb{R}^3)} + \|g\|_{L^\infty(\mathbb{R}^3)} \right) \varepsilon^{-1} \left[d_2^2(f, g) \right]^{1-\varepsilon}. \end{aligned}$$

Choosing $\varepsilon = \min(|\log d_2^2(f, g)|^{-1}, e^{-1})$ gives

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The lifting property also holds for the **fuzzy Landau equation**:

$$\partial_t f(x, v) + v \cdot \nabla_x f(x, v) = \tilde{q}(f)(x, v),$$

where

$$\tilde{q}(f) = \operatorname{div}_v \int_{\mathbb{R}^6} \kappa(x - x_*) \Phi(v - v_*) (\nabla_v - \nabla_{v_*}) (f(x, v) f(x_*, v_*)) dx_* dv_*,$$

and $\Phi(z) = |z|^{-1} \mathbb{P}(z)$, $\mathbb{P}(z) = \operatorname{Id}_3 - \frac{z \otimes z}{|z|^2}$.

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- ▶ Extend uniqueness result to the fuzzy Landau equation.
- ▶ Treat **weak solutions** using Fournier's approach.
- ▶ Generalize to analogous equations.

A weak solution of fuzzy Landau satisfies

$$\frac{d}{dt} \int \varphi f \, dx \, dv = \int \left[- (v \cdot \nabla_x \varphi) + 2(\kappa b * f) \cdot \nabla_v \varphi + (\kappa \Phi * f) : D_v^2 \varphi \right] f \, dx \, dv$$

We consider the general family

$$\partial_t f_t(x) + \operatorname{div} \left(c(x) f_t + (b(x) * f_t) f_t \right) = D^2 : \left((A(x) * f_t) f_t + M f_t \right),$$

where $D : A = \sum_{i,j} \partial_{x_i x_j} a^{ij}$.

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Key idea: generate couplings $\Pi_t \in \Gamma(f_t, g_t)$ and estimate

$$d_2^2(f_t, g_t) \leq \int_{\mathbb{R}^n \times \mathbb{R}^n} |x - y|^2 d\Pi_t(x, y).$$

We prescribe an equation for Π_t .

Toy model: Consider $\partial_t f_t(x) = \Delta f_t(x)$, $\partial_t g_t(y) = \Delta g_t(y)$ and

$$\partial_t \Pi_t = \Delta_{x,y} \Pi_t, \quad \Pi_0 = \Pi_0^{\text{opt}}.$$

Then

$$\frac{d}{dt} \int |x - y|^2 d\Pi_t = \int \Delta_{x,y} (|x - y|^2) \Pi_t = 4.$$

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Therefore

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where $M(x) = \mathbf{m}(x)\mathbf{m}^T(x)$, $A(x) = \sigma(x)\sigma^T(x)$. Then

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Problem: we have $x = (x^1, \dots, x^k, x') \in (\mathbb{R}^d)^k \times \mathbb{R}^{n-dk}$ and

$$|b(x - x_*)| \lesssim \sum_{i=1}^k |x^i - x_*^i|^{-d+1}, \quad |\sigma(x - x_*)| \lesssim \sum_{i=1}^k |x^i - x_*^i|^{-\frac{d}{2}+1},$$

$$\frac{|b(x - x_*) - b(y - y_*)|}{|x - y| + |x_* - y_*|} \lesssim \sum_{i=1}^k \left(|x^i - x_*^i|^{-d} + |y^i - y_*^i|^{-d} \right),$$

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This creates singular integrals which diverge logarithmically:

$$\int_{|x-x_*| \geq \varepsilon} |x^i - x_*^i|^{-3} f_t^{(i)}(x_*) \lesssim (1 - \log \varepsilon) \|f_t^{(i)}\|_{L^\infty}.$$

Solution: Divide integrals into the regions

$$R_1 = \left\{ |x^i - x_*^i| \geq \varepsilon, |y^i - y_*^i| \geq \varepsilon, \forall i \right\}, \quad R_2 = (\mathbb{R}^n)^4 \setminus R_1.$$

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$$\int_{|x-x_*| \geq \varepsilon} |x^i - x_*^i|^{-3} f_t^{(i)}(x_*) \lesssim (1 - \log \varepsilon) \|f_t^{(i)}\|_{L^\infty}.$$

Solution: Divide integrals into the regions

$$R_1 = \left\{ |x^i - x_*^i| \geq \varepsilon, |y^i - y_*^i| \geq \varepsilon, \forall i \right\}, \quad R_2 = (\mathbb{R}^n)^4 \setminus R_1.$$

Problem: we have $x = (x^1, \dots, x^k, x') \in (\mathbb{R}^d)^k \times \mathbb{R}^{n-dk}$ and

$$|b(x - x_*)| \lesssim \sum_{i=1}^k |x^i - x_*^i|^{-d+1}, \quad |\sigma(x - x_*)| \lesssim \sum_{i=1}^k |x^i - x_*^i|^{-\frac{d}{2}+1},$$

$$\frac{|b(x - x_*) - b(y - y_*)|}{|x - y| + |x_* - y_*|} \lesssim \sum_{i=1}^k \left(|x^i - x_*^i|^{-d} + |y^i - y_*^i|^{-d} \right),$$

$$\frac{|\sigma(x - x_*) - \sigma(y - y_*)|^2}{|x - y|^2 + |x_* - y_*|^2} \lesssim \sum_{i=1}^k \left(|x^i - x_*^i|^{-d} + |y^i - y_*^i|^{-d} \right).$$

This creates singular integrals which diverge logarithmically:

$$\int_{|x-x_*| \geq \varepsilon} |x^i - x_*^i|^{-3} f_t^{(i)}(x_*) \lesssim (1 - \log \varepsilon) \|f_t^{(i)}\|_{L^\infty}.$$

Solution: Divide integrals into the regions

$$R_1 = \left\{ |x^i - x_*^i| \geq \varepsilon, |y^i - y_*^i| \geq \varepsilon, \forall i \right\}, \quad R_2 = (\mathbb{R}^n)^4 \setminus R_1.$$

The previous computation yields

$$\frac{d}{dt} \int |x-y|^2 d\Pi_t \lesssim \max_i (\|f_t^{(i)}\|_{L^\infty}, \|g_t^{(i)}\|_{L^\infty}) \left[\varepsilon + |\log \varepsilon| \int |x-y|^2 d\Pi_t \right].$$

Then we set $\varepsilon = \min \left(\int |x-y|^2 d\Pi_t, e^{-1} \right)$ we obtain

$$\frac{d}{dt} \int |x-y|^2 d\Pi_t \lesssim \max_i (\|f_t^{(i)}\|_{L^\infty}, \|g_t^{(i)}\|_{L^\infty}) \Psi \left(\int |x-y|^2 d\Pi_t \right),$$

with $\Psi(s) = s(1 + |\log s|)$. This shows the stability estimate

$$d_2^2(f_t, g_t) \leq \int |x-y|^2 d\Pi_t \leq \omega \left(\int |x-y| d\Pi_0 \right) = \omega \left(d_2^2(f_0, g_0) \right),$$

where ω depends on $\|f^{(i)}\|_{L_t^1 L_{x^i}^\infty}, \|g^{(i)}\|_{L_t^1 L_{x^i}^\infty}$.

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where ω depends on $\|f^{(i)}\|_{L_t^1 L_{x^i}^\infty}, \|g^{(i)}\|_{L_t^1 L_{x^i}^\infty}$.

In summary, we obtain a stability estimate for

$$\partial_t f_t(x) + \operatorname{div}(c(x)f_t + (b(x) * f_t)f_t) = D^2 : ((A(x) * f_t)f_t + M f_t),$$

conditional on the x^i marginals satisfying $f^{(i)}, g^{(i)} \in L_t^1 L_{x^i}^\infty$.

Theorem (General)

There exists a modulus of continuity ω such that

$$\sup_{0 \leq t \leq T} d_2^2(f_t, g_t) \leq \omega(d_2^2(f_0, g_0)).$$

ω depends on $\|f^{(i)}\|_{L_t^1 L_{x^i}^\infty}$, $\|g^{(i)}\|_{L_t^1 L_{x^i}^\infty}$ and T .

Next, we see particular cases of the result.

The result applies to the fuzzy Landau equation:

$$\partial_t f(t, x, v) + v \cdot \nabla_x f(t, x, v) = \tilde{q}(f)(t, x, v),$$

where

$$\tilde{q}(f) = \operatorname{div}_v \int_{\mathbb{R}^6} \kappa(x-x_*) \Phi(v-v_*) (\nabla_v - \nabla_{v_*}) (f(x, v) f(x_*, v_*)) dx_* dv_*.$$

Theorem

Given two weak solutions, there is a modulus of continuity with

$$\sup_{0 \leq t \leq T} d_2^2(f_t, g_t) \leq \omega \left(d_2^2(f_0, g_0) \right),$$

where ω depends on $\|f\|_{L_t^1 L_v^\infty L_x^1}$, $\|g\|_{L_t^1 L_v^\infty L_x^1}$ and T .

It further applies to

$$\partial_t f^{(i)}(t, v^i) = \sum_{j=1}^N q_{ij}(f^{(i)}, f^{(j)})(t, v^i),$$

where

$$q_{ij}(f, g) := \frac{c_{ij}}{m_i} \operatorname{div}_{v^i} \int_{\mathbb{R}^3} \Phi(v^i - v_*^j) \left(\frac{\nabla_{v^i}}{m_i} - \frac{\nabla_{v_*^j}}{m_j} \right) (f(t, v^i) g(t, v_*^j)) dv_*^j,$$

Theorem

Given two weak solutions, there is a modulus of continuity with

$$\sup_{0 \leq t \leq T} \sum_{i=1}^N d_2^2(f_t^{(i)}, g_t^{(i)}) \leq \omega \left(\sum_{i=1}^N d_2^2(f_0^{(i)}, g_0^{(i)}) \right),$$

where ω depends on $\|f^{(i)}\|_{L_t^1 L_v^\infty}$, $\|g^{(i)}\|_{L_t^1 L_v^\infty}$, and T .

We also recover a known uniqueness result on

$$\partial_t f(t, x, v) + v \cdot \nabla_x f(t, x, v) + E(t, x) \cdot \nabla_v f(t, x, v) = 0,$$

where

$$E(x) := \pm \frac{1}{4\pi} \int_{\mathbb{R}^3} \frac{x - x_*}{|x - x_*|^3} \rho(x_*) dx_*, \quad \rho(x) := \int_{\mathbb{R}^3} f(x, v) dv.$$

Theorem (Loeper, 2006)

There exists a modulus of continuity such that

$$\sup_{0 \leq t \leq T} d_2^2(f_t, g_t) \leq \omega \left(d_2^2(f_0, g_0) \right),$$

where ω depends on $\|\rho_f\|_{L_t^1 L_x^\infty}$, $\|\rho_g\|_{L_t^1 L_x^\infty}$ and T .

We also recover a known uniqueness result on

$$\begin{cases} \partial_t f(t, x) + \operatorname{div}(f(t, x)\nabla u(t, x)) = \Delta f(t, x), \\ -\Delta u(t, x) + \alpha u(t, x) = f(t, x). \end{cases}$$

Note that

$$\nabla u = \nabla \Phi * f,$$

where Φ is the fundamental solution of $-\Delta u + \alpha u$.

Theorem (Carrillo et al., 2012)

There exists a modulus of continuity with

$$\sup_{0 \leq t \leq T} d_2^2(f_t, g_t) \leq \omega \left(d_2^2(f_0, g_0) \right),$$

where ω depends on $\|f\|_{L_t^1 L_x^\infty}$, $\|g\|_{L_t^1 L_x^\infty}$, and T .

We also recover known uniqueness results on

$$\partial_t \omega(t, x) + \operatorname{div}(u(t, x)\omega(t, x)) = 0,$$

where u is given by Biot-Savart law

$$u(t, x) = \int_{\mathbb{R}^2} K_{\text{BS}}(x-x_*)\omega(t, x_*) dx_*, \quad K_{\text{BS}}(x) = \frac{1}{2\pi|x|^2} \begin{pmatrix} -x_2 \\ x_1 \end{pmatrix}.$$

Theorem (Yudovich, 1963)

Given two weak solutions $\omega, \tilde{\omega} \geq 0$, with $\omega, \tilde{\omega} \in L^1 \cap L^\infty$ and $\int \omega = \int \tilde{\omega}$, there is a modulus of continuity θ with

$$\sup_{0 \leq t \leq T} d_2^2(\omega_t, \tilde{\omega}_t) \leq \theta \left(d_2^2(\omega_0, \tilde{\omega}_0) \right),$$

where θ depends on $\|\omega_0\|_{L^\infty}$, $\|\tilde{\omega}_0\|_{L^\infty}$ and T .

We let $\Pi_t = \text{Law}(X_t, Y_t)$, where (X_t, Y_t) are solutions of

$$\begin{aligned} X_t &= X_0 + \int_0^t c(X_s) ds + \int_0^t \mathbf{m}(X_s) dB_s \\ &\quad + \int_0^t \int_{\mathbb{R}^n} b(X_s - x_*) f_s(x_*) dx_* ds \\ &\quad + \sqrt{2} \int_0^t \int_{\mathbb{R}^n \times \mathbb{R}^n} \sigma(X_s - x_*) W(dx_*, dy_*, ds), \\ Y_t &= Y_0 + \int_0^t c(Y_s) ds + \int_0^t \mathbf{m}(Y_s) dB_s \\ &\quad + \int_0^t \int_{\mathbb{R}^n} b(Y_s - y_*) g_s(y_*) dy_* ds \\ &\quad + \sqrt{2} \int_0^t \int_{\mathbb{R}^n \times \mathbb{R}^n} \sigma(Y_s - y_*) W(dx_*, dy_*, ds), \end{aligned}$$

where $W(dx, dy, dt) = (W^1, W^2, W^3)$ is a white noise on $\mathbb{R}^6 \times \mathbb{R}^6 \times [0, T]$ with covariance measure $d\Pi_t^{\text{opt}}(x, y)dt$.

The SDEs are shown to have solutions through Picard iterations:

$$\Phi : L^\infty([0, T]; L^2(\Omega)) \longrightarrow L^\infty([0, T]; L^2(\Omega)),$$

$$\begin{aligned} \Phi(X)_t := & X_0 + \int_0^t c(X_s) ds + \int_0^t \int_{\mathbb{R}^d} b(X_s - x_*) f_s(x_*) dx_* ds \\ & + \int_0^t \mathbf{m}(X_s) dB_s + \int_0^t \int_{\mathbb{R}^d \times \mathbb{R}^d} \sigma(X_s - x_*) W(dx_*, dy_*, ds). \end{aligned}$$

Using similar computations, we shown

$$\begin{aligned} & \frac{d}{dt} \mathbb{E} \left[|\Phi(X)_t - \Phi(\tilde{X})_t|^2 \right] \\ & \lesssim \max_{1 \leq i \leq k} (\|f_t^{(i)}\|_{L^\infty}) \left[\Psi \left(\mathbb{E} \left[|\Phi(X)_t - \Phi(\tilde{X}_t)|^2 \right] \right) + \Psi \left(\mathbb{E} \left[|X_t - \tilde{X}_t|^2 \right] \right) \right], \end{aligned}$$

which is enough to show convergence of the iteration.